

Substitute Form PTO-1449 APR 19 2004  
(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

**List of Patents and Publications for Applicant's  
Information Disclosure Statement**  
(37 CFR §1.98(b))

F&R No.  
17083-003002  
Attorney Docket No.  
22908-1227B

Application No.  
09/586,625

Applicant  
Carlos F. Barbas III et al.

Filing Date  
June 2, 2000

Group Art Unit  
1646

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
J	AA	6140081	10/31/2000	Barbas	435	69.1	
J	AB	6140466	10/31/2000	Barbas, III et al.	530	350	
J	AC	6242568	06/05/2001	Barbas, III et al.	530	350	
J	AD	2002/0165356	11/07/2002	Barbas, III et al.	530	350	02/21/2002
J	AE	2002/0168714	11/14/2002	Barbas, III et al.	435	69.1	07/18/2001
J	AF	2003/0037355	02/20/2003	Barbas, III et al.	800	278	01/19/2001
J	AG	2003/0059767	03/27/2003	Barbas, III et al.	435	6	02/09/2000
J	AH	6610512	08/26/2003	Barbas	435	69.1	
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
J	AL	WO 02/066640	08/29/2002	PCT				
J	AM	WO 03/016496	02/27/2003	PCT				
J	AN	WO 03/066828	08/14/2003	PCT				
J	AO	WO 03/104414	12/18/2003	PCT				
	AP							

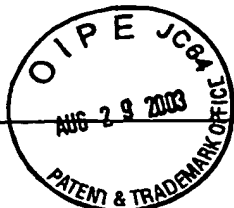
**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

10386666.doc

Examiner Signature *Joseph P. Meyer* Date Considered *6-7-05*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENTATTY. DOCKET NO.  
22908-1227BSERIAL NO.  
09/586,625CONFIRM NO.  
6568APPLICANT  
BARBAS *et al.*CUSTOMER NO.  
24961FILING DATE  
June 2, 2000GROUP  
1646

\* If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

+ Derwent English language abstract and/or English translation provided.

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	NONE												

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No	
<i>[Signature]</i>	A	0	5	4	0	0	6	5	05/05/93	EP				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>[Signature]</i>	B	Choo <i>et al.</i> , "In vivo repression by a site-specific DNA-binding protein designed against an oncogenic sequence", <i>Nature</i> 372:642-645 (1994)
<i>[Signature]</i>	C	Clemens <i>et al.</i> , "Molecular basis for specific recognition of both RNA and DNA by zinc finger protein", <i>Science</i> 260:530-533 (1993)
<i>[Signature]</i>	D	Pengue <i>et al.</i> , "Repression of transcriptional activity at a distance by the evolutionarily conserved KRAB domain present in a subfamily of zinc finger proteins", <i>Nucleic Acids Res.</i> 22(15):2908-2914 (1994)
<i>[Signature]</i>	E	Rhodes and Klug, "Zinc Fingers", <i>Scientific American</i> , pages 56-65 (February 1993)
<i>[Signature]</i>	F	Shi and Berg, "Specific DNA-RNA hybrid binding by zinc finger proteins", <i>Science</i> 268:282-284 (1995)

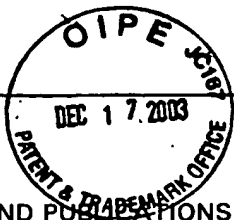
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Title: LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS



FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 22908-1227B	SERIAL NO. 09/586,625	CONFIRM NO. 6568
	APPLICANT Barbas III <i>et al.</i>	CUSTOMER NO. 24961	
	FILING DATE June 2, 2000	GROUP 1646	

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## U.S. PATENT DOCUMENTS

TECH CENTER 1600/2900

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	A	0	1	4	7	3	2	7	10/10/02	O'Malley <i>et al.</i>	536	23.5	7/25/01
	B	0	1	8	2	6	9	8	12/05/02	O'Malley <i>et al.</i>	435	199	04/16/02
	C	6	4	1	6	9	9	8	07/09/02	O'Malley <i>et al.</i>	435	325	06/07/95
	D	6	5	3	4	2	6	1	03/18/03	Cox III <i>et al.</i>	435	6	01/12/99

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	Translation Yes No	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED


6-7-05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS


**U.S.S.N. 09/586,625**  
**BARBAS *et al.***  
**Supplemental Information Disclosure Statement**

as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following related, co-pending U.S. and International applications:

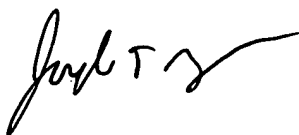


<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Docket No.</u>
09/562,934	05/01/00	22908-1226
09/847,101	05/01/01	22908-1226B
Unassigned	04/23/03	22908-1227C
09/903,327	07/10/01	22908-1228B
10/410,907	04/08/03	22908-1229
60/408,931	09/04/02	22908-P1230
60/408,440	09/04/02	22908-P1231
60/408,248	09/04/02	22908-P1232
09/795,292	01/14/99	22908-1235
09/482,682	01/14/00	22908-1235B
10/351,890	01/24/03	22908-1236
10/403,337	03/27/03	22908-1236B
60/459,000	03/28/03	22908-P1239



<u>Int'l App. No.</u>	<u>Filing Date</u>	<u>Docket No.</u>
PCT/EP01/04863	05/01/01	22908-1226PC
PCT/EP01/07878	07/09/01	22908-1228PC
PCT/US03/02295	01/24/03	22908-1236PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.



6-7-05